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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Docket: 2000DE443

Peter Klug et al.

Serial No.: 10/003,656

Art Unit 1617

Filed: November 29, 2001

Examiner: Wells, Lauren Q.

For: **COSMETIC COMPOSITIONS COMPRISING ALK(EN)YL SUCCINIC ACID  
DERIVATIVES**

#11/C  
N.E  
AKO  
10/16/03

PRELIMINARY AMENDMENT

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Match and Return**

Dear Sir:

Prior to the examination of the above-identified RCE application, please  
amend the application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2  
of this paper.

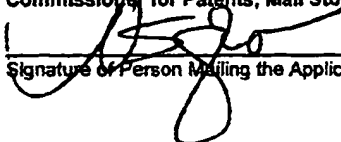
**Remarks/Arguments** begin on page 4 of this paper

CERTIFICATION UNDER 37 CFR 1.10

Express Mail Label Number

Date of Mailing: July 22, 2003

I hereby certify that on the date indicated above, this new U.S. patent application and the papers indicated as enclosed therein,  
is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to:  
Commissioner for Patents, Mail Stop RCE, Alexandria, VA. 22313-1450, in accordance with 37 CFR 1.10.

  
\_\_\_\_\_  
Signature of Person Mailing the Application

Vicki Sgro  
Typed Name of Person Mailing the Application



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PTO/SB/30 (01-03)

Approved for use through 04/30/2003. OMB 0651-0031  
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<b>Request For Continued Examination (RCE) Transmittal</b>  Address to: Commissioner for Patents Box RCE Washington, DC 20231	<b>Application Number</b>	10/003,656
	<b>Filing Date</b>	November 29, 2001
	<b>First Named Inventor</b>	Peter Klug
	<b>Art Unit</b>	1617
	<b>Examiner Name</b>	Wells, Lauren Q.
	<b>Attorney Docket Number</b>	2000DE443

#10  
AKO  
10/16/03

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

**Match and Return**2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(f) required)
- b. ☐ Other \_\_\_\_\_

3. **Fees**

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 03-2060
- a. ☒ RCE fee required under 37 CFR 1.17(e)
- i. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- ii. ☐ Other \_\_\_\_\_
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Richard P. Silverman	Registration No. (Attorney/Agent)	36,277
Signature		Date	July 22, 2003

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Vicki Sgro	Date	July 22, 2003
Signature			

Page 1 of 2

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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